

**UNITED STATES BANKRUPTCY COURT  
DISTRICT OF CONNECTICUT**

**IN RE:**

**FEDERAL RULE CHANGES ON  
DECEMBER 01, 2022 TO SUPERSEDE  
GENERAL ORDER 2020-01**

**GENERAL ORDER 2022-04**

WHEREAS, on August 23, 2019, the President signed into law the Small Business Reorganization Act of 2019 (SBRA), which created a new subchapter V of chapter 11 for the reorganization of small business debtors, an alternative procedure that small business debtors can elect to use; and

WHEREAS, upon recommendation of the Judicial Conference Committee on Rules of Practice and Procedure ("Standing Committee"), on December 16, 2019, the Executive Committee, acting on an expedited basis on behalf of the Judicial Conference, authorized the distribution of interim rules and forms to the courts so they could be adopted locally prior to the February 19, 2020, effective date of the SBRA; and

WHEREAS, on January 28, 2020, this Court issued General Order No. 2020-1 adopting Interim Rules relating to the SBRA, including Interim Federal Rule of Bankruptcy Procedure 1020 ("Interim Rule 1020"); and

WHEREAS, this Court issued General Order No. 2022-03, adopting Interim 1020, which was amended to revise the total debt limit for determining eligibility of a debtor to proceed under subchapter V of chapter 11 to \$7,500,000, pursuant to the Bankruptcy Threshold and Technical Corrections Act (BTATC); and

WHEREAS, the Judicial Conference of the United States at its March 16, 2021, and September 28, 2021, sessions approved all of the proposed amendments and the proposed new

rules of Federal bankruptcy procedure, including amendments related to the SBRA; and

WHEREAS, pursuant to 28 U.S.C. §§ 2074, 2075 and absent congressional action, the amended and new rules will take effect on December 1, 2022.

ACCORDINGLY, pursuant to 28 U.S.C. § 2071, Rule 83 of the Federal Rules of Civil Procedure, and Rule 9029 of the Federal Rules of Bankruptcy Procedure, it is hereby

**ORDERED:** In the absence of Congressional action, General Order No. 2020-01 is superseded by the Federal rule changes effective December 1, 2022; and it is further

**ORDERED:** General Order No. 2022-03<sup>1</sup> that adopts the amendment to Interim Rule 1020 required by the BTATC Act remains in effect until further order of the Court; and it is further

**ORDERED:** In the absence of Congressional action, effective December 1, 2022, the Federal Rules of Bankruptcy Procedure and the Local Rules Bankruptcy Procedure of this Court, except to the extent inconsistent with Interim Rule 1020, continue to apply to cases and proceedings in this Court.

Dated this 3rd day of November, 2022, at New Haven, Connecticut.

*Ann M. Nevins*  
Chief United States Bankruptcy Judge  
District of Connecticut



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<sup>1</sup> A copy of General Order 2022-03 is attached as **Exhibit A**.

Exhibit A - Copy of General Order 2022-03

UNITED STATES BANKRUPTCY COURT  
DISTRICT OF CONNECTICUT

**IN RE:**

**ADOPTION OF INTERIM FEDERAL RULE OF  
BANKRUPTCY PROCEDURE 1020 REQUIRED  
BY THE BANKRUPTCY THRESHOLD AND  
TECHNICAL CORRECTIONS ACT**

**GENERAL ORDER 2022-03**

WHEREAS, on January 28, 2020, this Court issued General Order No. 2020-1 adopting Interim Rules relating to the Small Business Reorganization Act of 2019 (SBRA), including Interim Federal Rule of Bankruptcy Procedure 1020 (“Interim Rule 1020”); and

WHEREAS, on March 27, 2020, the Coronavirus Aid, Relief, and Economic Security Act (the “CARES Act”) was signed into law, and that legislation required an amendment to Interim Rule 1020 to revise the total debt limit for determining eligibility of a debtor to proceed under subchapter V of chapter 11 to \$7,500,000; and

WHEREAS, on April 22, 2020, this Court issued General Order No. 2020-2 adopting the amendment to Interim Rule 1020 required by the CARES Act (“CARES Act Interim Rule 1020”) <sup>1</sup> in its entirety without change by the judges of this Court; and

WHEREAS, the CARES Act Interim Rule 1020 revision to the debt limit for determining eligibility of a debtor to proceed under subchapter V of chapter 11 expired on March 27, 2022; and

WHEREAS, the Bankruptcy Threshold and Technical Corrections Act (the BTATC Act), Pub. L. 117-151 reinstated the total debt limit for determining eligibility of a debtor to proceed under subchapter V of chapter 11 to \$7,500,000, the amount previously in effect under the

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<sup>1</sup> A copy is attached to this order as **Exhibit A – CARES Act Interim Rule 1020**.

Exhibit A - Copy of General Order 2022-03

CARES Act; and

WHEREAS, the BTATC Act restored the \$7,500,000 limit *retroactively* for cases commenced on or after March 27, 2020 through June 21, 2024 (two years after the date of enactment of the BTATC Act).

ACCORDINGLY, pursuant to 28 U.S.C. § 2071, Rule 83 of the Federal Rules of Civil Procedure, and Rule 9029 of the Federal Rules of Bankruptcy Procedure, it is hereby:

**ORDERED:** General Order No. 2020-2 is superseded by this Order, and General Order No. 2020-1 is revised only as to add the amendment to Interim Rule 1020 required by the BTATC Act; and it is further

**ORDERED:** The amendment to Interim Rule 1020<sup>2</sup> required by the BTATC Act is adopted, and remains in effect until further order of the Court; and it is further

**ORDERED:** The Federal Rules of Bankruptcy Procedure and the Local Rules Bankruptcy Procedure of this Court, except to the extent inconsistent with Interim Rule 1020, continue to apply to cases and proceedings in this Court.

IT IS SO ORDERED at New Haven, Connecticut this 12th day of September 2022.

*Ann M. Nevins*  
Chief United States Bankruptcy Judge  
District of Connecticut



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<sup>2</sup> A copy of the redline amendments to Interim Rule 1020 required by the BTATC Act is attached as **Exhibit B – BTATC Act Interim Rule 1020**.

**EXHIBIT A - CARES Act Interim Rule 1020 (expired on March 27, 2022)**

**1 Rule 1020. Chapter 11 Reorganization Case for Small**

**2 Business Debtors or Debtors Under Subchapter V**

**3 (a) ~~SMALL BUSINESS DEBTOR~~**

**4 DESIGNATION.** In a voluntary chapter 11 case, the debtor

**5 shall state in the petition whether the debtor is a small**

**6 business debtor or a debtor as defined in § 1182(1) of the**

**7 Code and, if the latter so, whether the debtor elects to have**

**8 subchapter V of chapter 11 apply. In an involuntary chapter**

**9 11 case, the debtor shall file within 14 days after entry of the**

**10 order for relief a statement as to whether the debtor is a small**

**11 business debtor or a debtor as defined in § 1182(1) of the**

**12 Code and, if the latter so, whether the debtor elects to have**

**13 subchapter V of chapter 11 apply. The status of the case as**

**14 a small business case or a case under subchapter V of chapter**

**15 11 shall be in accordance with the debtor's statement under**

**16 this subdivision, unless and until the court enters an order**

**17 finding that the debtor's statement is incorrect.**

**18 (b) OBJECTING TO DESIGNATION.** The United

**19 States trustee or a party in interest may file an objection to**

**20 the debtor's statement under subdivision (a) no later than 30**

**21 days after the conclusion of the meeting of creditors held**

**Exhibit A - Copy of General Order 2022-03**

**EXHIBIT A - CARES Act Interim Rule 1020 (expired on March 27, 2022)**

22 under § 341(a) of the Code, or within 30 days after any  
23 amendment to the statement, whichever is later.

24 (c) PROCEDURE FOR OBJECTION OR  
25 DETERMINATION. Any objection or request for a  
26 determination under this rule shall be governed by Rule 9014  
27 and served on: the debtor; the debtor’s attorney; the United  
28 States trustee; the trustee; the creditors included on the list  
29 filed under Rule 1007(d) or, if a committee has been  
30 appointed under § 1102(a)(3), the committee or its  
31 authorized agent; and any other entity as the court directs.

**Committee Note**

The interim rule is amended in response to the enactment of the Coronavirus Aid, Relief, and Economic Security Act (the “CARES Act”), Pub. L. No. 116-136, 134 Stat. 281. That law provides a new definition of “debtor” for determining eligibility to proceed under subchapter V of chapter 11. Subdivision (a) of the rule is amended to reflect that change. This amendment to the Code will terminate one year after the date of enactment of the CARES Act.

**EXHIBIT B - BTATC Act Interim Rule 1020**

1 **Interim Rule 1020. Chapter 11 Reorganization Case for**  
2 **Small Business Debtors or Debtors Under Subchapter V**

3 (a) ~~SMALL—BUSINESS—DEBTOR~~  
4 DESIGNATION. In a voluntary chapter 11 case, the debtor  
5 shall state in the petition whether the debtor is a small  
6 business debtor or a debtor as defined in § 1182(1) of the  
7 Code and, if the latter so, whether the debtor elects to have  
8 subchapter V of chapter 11 apply. In an involuntary chapter  
9 11 case, the debtor shall file within 14 days after entry of the  
10 order for relief a statement as to whether the debtor is a small  
11 business debtor or a debtor as defined in § 1182(1) of the  
12 Code and, if the latter so, whether the debtor elects to have  
13 subchapter V of chapter 11 apply. The status of the case as  
14 a small business case or a case under subchapter V of chapter  
15 11 shall be in accordance with the debtor's statement under  
16 this subdivision, unless and until the court enters an order  
17 finding that the debtor's statement is incorrect.

18 (b) OBJECTING TO DESIGNATION. The United  
19 States trustee or a party in interest may file an objection to  
20 the debtor's statement under subdivision (a) no later than 30  
21 days after the conclusion of the meeting of creditors held  
22 under § 341(a) of the Code, or within 30 days after any  
23 amendment to the statement, whichever is later.



**EXHIBIT B - BTATC Act Interim Rule 1020**

24           (c)     PROCEDURE FOR OBJECTION OR  
25 DETERMINATION. Any objection or request for a  
26 determination under this rule shall be governed by Rule 9014  
27 and served on: the debtor; the debtor’s attorney; the United  
28 States trustee; the trustee; the creditors included on the list  
29 filed under Rule 1007(d) or, if a committee has been  
30 appointed under § 1102(a)(3), the committee or its  
31 authorized agent; and any other entity as the court directs.

**Committee Note**

The Interim Rule is amended in response to the enactment of the Bankruptcy Threshold Adjustment and Technical Correction Act (the “BTATC Act”), Pub. L. No. 117-151, \_\_\_ Stat. \_\_\_\_\_. The BTATC reinstates the definition of “debtor” for determining eligibility to proceed under subchapter V of chapter 11 that was in effect from March 27, 2020 through March 27, 2022, under the Coronavirus Aid, Relief, and Economic Security Act, Pub. L. No. 116-136, 134 Stat. 281, as amended. Subdivision (a) of the rule is amended to reflect that change. This Interim Rule will terminate two years after the date of enactment of the BTATC, unless the Act is extended.